Financial Management Appeals

This document details the requirements and process governing appeals associated with submissions to *Financial Management*.

<u>Requirements</u>

Authors must submit appeals no sooner than two weeks and no later than three months of the date of the decision. Authors must submit the following:

- $600 USD fee
- Formal letter describing any errors the authors think have occurred and why they believe the decision should be changed. This letter must contain the original manuscript title and ID number from Wiley Research Exchange. This letter may be submitted to the reviewer(s), so it must be anonymized.

To initiate an appeal, the corresponding author must email a formal appeal letter to Michelle Lui at mlui@usf.edu and must copy all co-authors. The FMA office will then generate an invoice for the fee to the main corresponding author. After the fee is paid, the FMA office will submit the appeal to an Editor.

For an appeal to potentially have merit and to trigger a fresh review, the author must provide a solid argument that the referees, associate editors, or and the editors have made an error in the evaluation of the paper based on what was in the original submission. The appeal only has merit if the author can argue that substance in the original paper (or possibly elsewhere in existing literature) refutes points raised by the reviewing team that were material in the decision to reject the paper.

Arguments that the issues that led to rejection could be fixed in a revised version of the paper are not valid grounds for appeal. Moreover, the errors must be mistakes concerning facts. Appeals based on subjective judgments about the contribution of the paper will not be considered.

Co-author attestation:

The corresponding author attests that all co-authors have contributed and reviewed the appeal letter and agree to the terms of the appeals process.

Process

The Editor will review the originally submitted manuscript and the formal appeal letter and decide whether to manage the appeal directly or appoint another editor.

If, in the Editor's view, a further review of the paper will not change the outcome, the Editor will reject the appeal. The co-authors will receive a brief explanation of why the editor believes further consideration is unlikely to be productive. This decision is final and not subject to further appeal.

If the Editor believes the appeal should go forward, the editor will send the appeal to the referee(s) of the initial submission and one or more additional advisory referees who were not previously involved in the review. Based on their responses, the Editor will decide whether the appeal is successful or not. Successful appeals will be either be accepted or converted to revise & resubmits.

Decisions

All editorial decisions are final and there will be no further consideration by other Editors of *Financial Management*.

Unsuccessful Appeals

If an appeal is unsuccessful, all co-authors will be ineligible to submit appeals to *Financial Management* for a period of three years.